

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION CKET NO. Harvatek 8948

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Focusing Cup on a Folded Frame for Surface Mount Optoelectronic Semiconductor Package**

the specification of which (check one)

☒ is attached hereto.

☐ was filed on

as Application Serial No. _____

and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the Claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Priority is Claimed:

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date the prior application and the national or PCT international filing date of this application :

_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status)(patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status)(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name & registration no.)

Hung-Chang LIN, U.S. Patent Agent (Registration No. 28,789)

Send Correspondence to : **H.C. LIN**

8 Schindler Court, Silver Spring, Maryland 20903.

Direct Telephone Calls to : (name & telephone number)

H.C. LIN, (301)434-3571

Full name of sole or first inventor

Bily WANG.

Inventor's signature

Bily Wang

Date: November 20, 2000

Residence

No.18, Lane 522, Section 5, Chung Hua Road, Hsin-Chu 30050, Taiwan

Citizenship

the Republic of China.

Post Office Address

No.18, Lane 522, Section 5, Chung Hua Road, Hsin-Chu 30050, Taiwan

[illegible]

Bill CHANG

Signature Piell Chang
on 5, Chung Hua Road, Hsin-Chu 3005

Date: November 20, 2000

Residence

No.15, Lane 522, Section 5, Chung Hua Road, Hsin-Chu 30050, Taiwan
Citizenship

Citizenship

the Republic of China.

Post Office Address

No.15, Lane 522, Section 5, Chung Hua Road, Hsin-Chu 30050, Taiwan
Full name of Third joint investor is _____

Full name of Third joint-inventor, if any

Third Inventor's signature

Date _____

Residence

Citizenship

Post Office Address

Full name of fourth joint-inventor, if any

Fourth Inventor's signature

Date _____

Residence

Citizenship

Post Office Address

Full name of fifth joint-inventor, if any

Fifth Inventor's signature

Date _____

Residence

Citizenship

Post Office Address

Full name of sixth joint-inventor, if any

Sixth Inventor's signature

Date _____

Residence

Citizenship

Post Office Address

Full name of seventh joint-inventor, if any

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

Docket Number.
Harvatek 8948

Applicant or Patentee: **Bily WANG, Bill CHANG.**

Serial or Patent No.:

Filed or Issued:

Title: **Focusing Cup on a Folded Frame for Surface Mount Optoelectronic S.C. Package**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN

Harvatek Corporation.

ADDRESS OF SMALL BUSINESS CONCERN

**No.18, Lane 522, Section 5, Chung_Hua Road, Hsin-Chu
30050, Taiwan, ROC.**

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING **Bily WANG**

TITLE OF PERSON IF OTHER THAN OWNER **President.**

ADDRESS OF PERSON SIGNING **No.18, Lane 522, Section 5, Chung_Hua Road, Hsin-Chu 30050,
Taiwan, ROC.**

SIGNATURE

Bily Wang

DATE

November 20, 2000

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

CKET NO. Harvatek 8948

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

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Priority is Claimed:

(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

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Hung-Chang LIN, U.S. Patent Agent (Registration No. 28,789)

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H.C. LIN, (301)434-3571

Full name of sole or first inventor

Bily WANG.

Inventor's signature

Bily Wang

Date: November 20, 2000

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Citizenship

the Republic of China.

Post Office Address

No.18, Lane 522, Section 5, Chung Hua Road, Hsin-Chu 30050, Taiwan

DOCKET NO. Harvatek_8948

Full name of second joint-inventor, if any

Bill CHANG

Second Inventor's signature

Bill Chang

Date: November 20, 2000

Residence

No.15, Lane 522, Section 5, Chung Hua Road, Hsin-Chu 30050, Taiwan

Citizenship

the Republic of China.

Post Office Address

No.15, Lane 522, Section 5, Chung Hua Road, Hsin-Chu 30050, Taiwan

Full name of Third joint-inventor, if any

Third Inventor's signature

Date

Residence

Citizenship

Post Office Address

Full name of fourth joint-inventor, if any

Fourth Inventor's signature

Date

Residence

Citizenship

Post Office Address

Full name of fifth joint-inventor, if any

Fifth Inventor's signature

Date

Residence

Citizenship

Post Office Address

Full name of sixth joint-inventor, if any

Sixth Inventor's signature

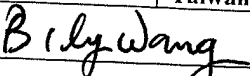
Date

Residence

Citizenship

Post Office Address

Full name of seventh joint-inventor, if any

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN		Docket Number. Harvatek_8948
Applicant or Patentee: Bily WANG, Bill CHANG.		
Serial or Patent No.:		
Filed or Issued:		
Title: <u>Focusing Cup on a Folded Frame for Surface Mount Optoelectronic S.C. Package</u>		
I hereby declare that I am		
<input type="checkbox"/> the owner of the small business concern identified below: <input checked="" type="checkbox"/> an official of the small business concern empowered to act on behalf of the concern identified below:		
NAME OF SMALL BUSINESS CONCERN	Harvatek Corporation.	
ADDRESS OF SMALL BUSINESS CONCERN	No.18, Lane 522, Section 5, Chung Hua Road, Hsin-Chu 30050, Taiwan, ROC.	
I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.		
I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:		
<input checked="" type="checkbox"/> the specification filed herewith with title as listed above. <input type="checkbox"/> the application identified above. <input type="checkbox"/> the patent identified above.		
If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).		
Each person, concern or organization having any rights in the invention is listed below:		
<input checked="" type="checkbox"/> no such person, concern, or organization exists. <input type="checkbox"/> each such person, concern or organization is listed below.		
Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)		
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))		
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.		
NAME OF PERSON SIGNING	Bily WANG	
TITLE OF PERSON IF OTHER THAN OWNER	President.	
ADDRESS OF PERSON SIGNING	No.18, Lane 522, Section 5, Chung Hua Road, Hsin-Chu 30050, Taiwan, ROC.	
SIGNATURE		DATE November 20, 2000